Ohio | Department of Agriculture
Governor Ted Strickland • Lt. Governor Lee Fisher
Director Robert J. Boggs

Livestock Environmental Permitting Program
A.B. Graham Building
8995 East Main Street, Reynoldsburg, OH 43068
Phone: 614-387-0470 • Fax: 614-728-6335
www.agri.ohio.gov • lepp@agri.ohio.gov

COMPLIANCE INFORMATION

Submittal of a Compliance Information Form is necessary is to fulfill the requirements of Section 903.05 of the Ohio Revised Code (ORC) and rules 901:10-1-02, 901:10-1-03, and 901:10-1-08 of the Ohio Administrative Code (OAC). A Compliance Information Form shall be used by any person applying for a permit to install or permit to operate or for a transfer of an existing permit, under these sections.

Rules 901:10-1-02 and 901:10-1-03 of the OAC and Section 903.05 of the ORC require that all applicants seeking to own or operate a concentrated animal feeding facility (CAFF) fill out a Compliance Information Form if they have not operated a CAFF in the state of Ohio for two of the last five years immediately preceding the permit application. If an applicant seeks to purchase or acquire a previously permitted CAFF pursuant to 903.05(C) and rule 901-10-1-08, the applicant must complete the Compliance Information Form before the CAFF permit can be transferred to the applicant. In addition to the Compliance Information Form, an applicant may submit additional information explaining the applicant's record of environmental compliance. The Department is interested in information that demonstrates competence, reliability, and expertise in the operation of animal feeding facilities.

Applicants should recognize that the Department may verify the information submitted on this form by conferring with other Divisions within the Department of Agriculture as appropriate, e.g., with the Dairy Division or Division of Animal Industry. In addition, the Director may contact the United States Environmental Protection Agency, the Ohio Environmental Protection Agency, the Ohio Department of Natural Resources, local Soil and Water Conservation Districts, other states' regulatory agencies, local health departments, and other appropriate government agencies in other countries to confirm the applicants' compliance history. To expedite the Director's review and verification, the owner, operator, or applicant must submit copies of any documents pertaining to enforcement actions—whether administrative, civil, or criminal—and related compliance information.

A permit to install or permit to operate or an application to transfer a permit can be denied because of the compliance history of the applicant or persons associated with the applicant in the operation of animal feeding facilities. Even if the applicant has operated a concentrated animal feeding facility in Ohio for two of the past five years immediately preceding the application, the Director can deny a new or renewal permit in accordance with Section 903.05(B) of the ORC. If information is submitted to the Director or if the Director obtains other information that the applicant and persons associated with the applicant have a history of substantial noncompliance that indicates that the person lacks sufficient reliability, expertise, and competence to operate the concentrated animal feeding facility in substantial compliance with ORC Chapter 903 or the rules adopted under it, the Director may deny the permit or transfer application. The Director shall deny the permit if the application contains false or misleading information.

For new facilities, a copy of the final report on Compliance Information will become part of the Permit.

INSTRUCTIONS: Applicants for permits to install and permits to operate must complete a separate Compliance Information Form for each person identified on General Information Forms. For permit transfers, a copy of this form must be completed for each transferee (permit transferees may find it helpful to also use General Information Forms to organize their submittal). If more space is needed to answer any question, attach a separate piece of paper with the required information.

APPLICANT'S NAME AND ADDRESS

Name: _____

Address: _____

City: _____ State: _____ Zip Code: _____

Telephone: _____ Fax Number: _____

E-mail (if available): _____

OTHER ANIMAL FEEDING FACILITIES (IN OHIO ONLY)

List the AFFs or CAFFs that you have operated (during the five-year period immediately preceding the submission of the current permit application) or are operating **in Ohio**.

Name of AFF or CAFF	Location Address	County	Permit ID(s)	Date

OTHER ANIMAL FEEDING FACILITIES (OUTSIDE OHIO; IN U.S.)

List the AFFs or CAFFs that you have operated (during the five-year period immediately preceding the submission of the current permit application) or are operating elsewhere **in the United States and that are regulated under the Federal Water Pollution Control Act**.

Name of AFF or CAFF	Location Address	County	Permit ID(s)	Date

OTHER ANIMAL FEEDING FACILITIES (OUTSIDE THE U.S.)

List the AFFs or CAFFs that you have operated (during the five-year period immediately preceding the submission of the current permit application) or are operating **outside the United States.**

Name of AFF or CAFF: _____
Applicant's Date of Birth: _____
Farm Address: _____
Country: _____ State/Province: _____
Agency of Regulation: _____
Agency Address: _____
Permit ID: _____ Date Affiliated: _____

Name of AFF or CAFF: _____
Applicant's Date of Birth: _____
Farm Address: _____
Country: _____ State/Province: _____
Agency of Regulation: _____
Agency Address: _____
Permit ID: _____ Date Affiliated: _____

Name of AFF or CAFF: _____
Applicant's Date of Birth: _____
Farm Address: _____
Country: _____ State/Province: _____
Agency of Regulation: _____
Agency Address: _____
Permit ID: _____ Date Affiliated: _____

Name of AFF or CAFF: _____
Applicant's Date of Birth: _____
Farm Address: _____
Country: _____ State/Province: _____
Agency of Regulation: _____
Agency Address: _____
Permit ID: _____ Date Affiliated: _____

COMPLIANCE RECORD

List all administrative enforcement actions issued to you, all civil actions in which you have determined by the trier of fact to be liable in damages or were the subject of injunctive relief or another type of civil relief, and all criminal actions in which you pleaded guilty or were convicted during the five years immediately preceding the submission of this permit application in connection with any violation of the Clean Water Act, the Safe Drinking Water Act or any other applicable state laws pertaining to environmental protection that was alleged to have occurred or to be occurring at any AFF that you have operated or are operating in the United States or with any violation of the environmental laws of another country that was alleged to have occurred or to be occurring at any AFF that you have operated or are operating outside the United States.

"Administrative Enforcement Action" means any administrative orders, notices of violation letters, and letters that serve as notices of deficiencies that result in noncompliance.

ADMINISTRATIVE ENFORCEMENT ACTIONS

☐None.
☐Pending: _____
_____
_____

☐Resolved: _____
_____
_____

☐Dismissed: _____
_____
_____

CIVIL ACTIONS

☐None.
☐Pending: _____
_____
_____

☐Resolved: _____
_____
_____

☐Dismissed: _____
_____
_____

CRIMINAL ACTIONS

☐None.
☐Pending: _____
_____
_____

☐Resolved: _____
_____
_____

☐Dismissed: _____
_____
_____

SIGNATURE AND AUTHORIZATION

AUTHORIZATIONS

"I authorize the Soil and Water Conservation District having authority over any operation or facility identified above to disclose to the Ohio Department of Agriculture any and all information that may be on file with the local Soil and Water District and the Division of Soil and Water within the Ohio Department of Natural Resources. I understand that I may claim confidentiality for any information in the local or division files if that information is a trade secret, confidential business information, or confidential financial information."

_____ _____
Signature Date Signed

_____
Print Name

NOTE: You may submit any explanation pertaining to the above actions for consideration by the Director. This may include discussion of notices of violation letters or related government correspondence, fines, penalties paid, or work or services performed in place of fines or penalties.

☐Check if you are enclosing additional information.